

06-25-01

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**UTILITY PATENT APPLICATION TRANSMITTAL (Large Entity)**  
*Only for new nonprovisional applications under 37 C.F.R. 1.53(b)*

Docket No.:  
**28883-US**  
 Express Mail Label No.  
**EL591096557US**

**TO THE ASSISTANT COMMISSIONER FOR PATENTS**  
**BOX PATENT APPLICATION**  
**Washington, D.C. 20231**

Transmitted herewith for filing under 35 U.S.C. 111(a) and 37 C.F.R. 1.53(b) is a new utility patent application for an invention entitled:

**LECTIN SS3939 DNA AND POLYPEPTIDES**

and invented by:

**DIRK M. ANDERSON, SEATTLE, WASHINGTON.**

**If a CONTINUING APPLICATION**, check appropriate box and supply the requisite information:

☒ Continuation      ☐ Divisional      ☐ Continuation-in-part (CIP)

of prior application No.: PCT/US99/30523

Enclosed are:

**Application Elements**

1. ☒ Filing fee as calculated and transmitted as described below
2. ☒ Specification including claims and abstract ( 62 pages total)
3. ☐ Drawing(s); Number of Sheets \_\_\_\_\_
4. ☐ Oath or Declaration
  - a. ☐ Newly executed
  - b. ☐ Copy from a prior application (37.C.F.R. 1.63(d)) (*for continuation/divisional application only*)
  - c. ☐ With Power of Attorney      ☐ Without Power of Attorney
  - d. ☐ DELETION OF INVENTOR(S)  
 Signed statement attached deleting inventor(s) named in prior application, see 37 C.F.R. 1.63(d)(2) and 1.33(b).
5. ☐ Incorporation by Reference (usable if Box 4b is checked)  
 The entire disclosure of the prior application from which a copy of the oath or declaration is supplied under Box 4b, is considered as being part of the disclosure of the accompanying application and is hereby incorporated by reference therein.
6. ☐ Computer Program in Microfiche (Appendix)
7. ☒ Nucleotide and/or Amino Acid Sequence Submission
  - a. ☒ Paper copy
    - ☐ Pages \_\_\_\_\_ - \_\_\_\_\_ of specification
    - ☒ Separately numbered pages 1 - 7
  - b. ☒ Computer Readable Copy
  - c. ☒ Statement Verifying Identical Paper and Computer Readable Copy
  - d. ☐ Statement under 37 C.F.R. 1.821(e) in lieu of Computer Readable Copy

jc862 U.S. PTO  
 06/22/01

1017 U.S. PTO  
 09/887855  
 06/22/01

09887855 062201

## UTILITY PATENT APPLICATION TRANSMITTAL (Large Entity)

Docket No.: 2883-US

## Accompanying Application Parts

8. ☐ Assignment
- a. ☐ Executed original Assignment and Recordation Form enclosed
- b. ☐ Prior application is assigned of record to Immunex Corporation  
(reel \_\_\_\_\_ frame \_\_\_\_\_)
9. ☐ 37 C.F.R. 3.73(B) Statement (*when there is an assignee*)
10. ☒ Preliminary Amendment with Appendix A
11. ☒ Acknowledgment postcard
12. ☒ Certificate of Mailing by Express Mail (Label No.: EL591096557US)
13. ☐ Certified Copy of Priority Document(s) (*if foreign priority is claimed*)
14. ☐ Additional Enclosures (*please identify below*):

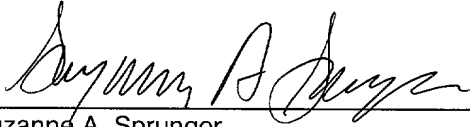
Bibliographic Data Sheet

## Fee Calculation and Transmittal

CLAIMS AS FILED (after any Preliminary Amendment submitted herewith)					
For	# Filed	# Allowed	# Extra	Rate	Fee
Total Claims	13	- 20 =	0	x \$18.00	\$0.00
Indep. Claims	3	- 3 =	0	x \$80.00	\$0.00
Multiple Dependent Claims (check if applicable) <input type="checkbox"/>					\$0.00
BASIC FEE					\$710.00
OTHER FEE ( <i>specify purpose</i> )					\$0.00
TOTAL FILING FEE					\$0.00

- ☒ The Commissioner is hereby authorized to charge and credit Deposit Account No. 09-0089 as described below. A copy of this sheet is enclosed.
- ☒ Charge the amount of \$710 as a filing fee.
- ☒ Credit any overpayment.
- ☒ Charge any additional fees required under 37 C.F.R. 1.16 and 1.17.

Correspondence address:

  
Suzanne A. Sprunger  
Registration No. 41,323

Dated: June 22, 2001



22932

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In the Application of:  
Dirk M. Anderson

Docket No.: 2883-US

Filing Date: June 22, 2001

For: LECTIN SS3939 DNA AND POLYPEPTIDES

**CERTIFICATE OF MAILING BY EXPRESS MAIL**

BOX PATENT APPLICATION  
Assistant Commissioner for Patents  
Washington, D.C. 20231

EXPRESS MAIL LABEL NUMBER: EL591096557US

I hereby certify that the following correspondence is enclosed and is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 C.F.R. §1.10 on the date listed below, and is addressed to BOX PATENT APPLICATION, Assistant Commissioner for Patents, Washington, D.C. 20231.

Postcard  
Application for Patent Cover Sheet (2 pages + copy)  
Specification, claims and abstract (62 pgs.) (Copy of the original)  
Preliminary Amendment with Appendix A  
Paper copy of Sequence Listing ( pgs 1-7)  
Statement Under 37 CFR 1.821 (f) Regarding Sequence Listing  
Diskette containing Sequence Listing  
Bibliographic Data Sheet

Signed: Elizabeth M. McCarthy  
Elizabeth M. McCarthy

Date: June 22, 2001

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